

REFERRAL DISCLOSURE

If you have been referred to OTT by another person or company (“Referral Agent”) outside of OTT for the purpose of opening an account and obtaining our products and services, a referral fee may be paid to that person or company.

Referral Agent is not an employee of OTT; and OTT and Referral Agent are wholly separate and independent from one another. OTT does not control, and cannot endorse or vouch for the accuracy or completeness of any information or advice you may have received or may receive in the future from Referral Agent regarding CFD Trading or the risks involved in such trading.

If you have been referred to OTT by Referral Agent, OTT may compensate Referral Agent in the form of a transaction-based referral fee pursuant to the Referral Agreement between OTT and Referral Agent. The referral fee to Referral Agent is limited to:

0.5 pip round-turn per lot of spread commission on Currency CFDs

AND

for non-Currency CFDs, the referral fee to Referral Agent is limited to:

0.25 pip round-turn per lot of spread commission on Commodity and Index CFDs;

0.25 pip round-turn per lot of spread commission on XAGUSD; and

2.5 pips round-turn per lot of spread commission on XAUUSD.

You hereby agree and instruct OTT to compensate Referral Agent _____ by:
AGENT NAME

_____ pip round-turn per lot of spread commission on Currency CFDs; and

_____ pip round-turn per lot of spread commission on Commodity and Index CFDs; and

_____ pip round-turn per lot of spread commission on XAGUSD; and

_____ pips round-turn per lot of spread commission on XAUUSD.

As a registered investment dealer, OTT is permitted to carry out the activities contemplated in the Referral Agreement, and where the Referral Agent is not registered, OTT will be required to carry out all registerable activities.

You have been provided with the following risk disclosures:

[Risk Information Document for Derivatives](#)

[High Risk Investment Notice for OTC Derivatives](#)

[Margin Risk Disclosure](#)

You should review these disclosures carefully and not rely on any information to the contrary from any other source. OTT will not be responsible for any losses that result from your reliance on information or advice provided to you by Referral Agent or any other third party.

You acknowledge that no promises of profit or avoidance of loss in your account have been made to you by OTT or its employees. CFD Trading is speculative, involves high risk and may result in the loss of all of your deposited funds and any additional funds you may deposit to meet margin calls.

CFD Trading is not suitable for risk-averse investors or those seeking income or safety of capital. Consult a qualified, independent financial advisor if you are not sure whether CFD Trading is suitable for you.

You acknowledge, agree and understand that utilizing any trading system, course, program, research or recommendation provided by Referral Agent or any other third party involves substantial risk and will not necessarily result in profits, avoid losses or limit losses.

You understand that Referral Agent and third-party vendors of trading systems, courses, programs, research or recommendations may not be regulated by securities authorities in your jurisdiction. It is your responsibility to conduct your own due diligence on Referral Agent or any third-party vendor prior to acquiring their services.

You understand that your account has been introduced to OTT by Referral Agent and you hereby acknowledge that OTT may provide Referral Agent with access to certain information about the trading activity in your account. If Referral Agent is duly registered with a securities authority, you agree that Referral Agent may be provided with a copy of your account application.

No Referral Agent will have the right to act as Trading Agent to enter trades on your behalf for your account unless specifically authorized by you through the execution of a Trading Authorization Agreement granting Referral Agent the right to make trading decisions for your account. It is a violation of securities law for Referral Agent to trade or advise in securities or derivatives without being duly licensed or registered under applicable securities legislation to do so. OTT reserves the right to reject any Limited Power of Attorney authorizing Referral Agent to make trading decisions on your behalf if OTT does not have reasonable assurance that Referral Agent is acting in compliance with securities legislation.

Client Name
(Primary Account Holder)

Client Name
(Joint Account Holder)

Client Signature

Client Signature

Date (dd/mm/yy)

Date (dd/mm/yy)