

SHARED OFFICE PREMISES DISCLOSURE

OTT is an investment dealer and IIROC Dealer Member with registered offices at Unit 510, 5140 Yonge St., Toronto ON M2N 6L7.

The Dealer Member shares these office premises with its affiliates: **OTT Financial Inc.**, a Money Services Business; and **OTT Capital Corporation**, a dealer in exempt securities, portfolio manager, and investment fund manager.

While these companies share the same premises and majority parent, they are separate entities that offer different products and services. OTT Financial Canada Inc. and its Investment Representatives provide you with “order-execution only” discount brokerage services. OTT Financial Inc. provides foreign exchange and money remittance services. OTT Capital Corporation distributes exempt securities, trades and advises in securities, and manages investment funds.

OTT’s Privacy Policy governs the collection and disclosure of personal information about our clients to any other party, including our affiliates. Disclosure of your personal information is not permitted without your prior consent, unless disclosure is required by regulation or necessary in order for us to provide the service that you have requested.

Please review our [Privacy Policy Statement](#).

Client Name
(Primary Account Holder)

Client Name
(Joint Account Holder)

Client Signature

Client Signature

Date (mm/dd/yy)

Date (mm/dd/yy)